



PATENT

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                       |  |            |
|-----------------------|--|------------|
| In re application of: | Lentz et al.                             | )          |
| Serial No.:           | 10/626,887                               | )          |
| Filed:                | July 24, 2003                            | ) Art Unit |
| For:                  | DISTAL END FOR CRYOABLATION<br>CATHETERS | ) 3744     |
| Examiner:             | Unknown                                  | )          |
| Attorney Docket:      | 11350.5                                  | )          |

### TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of the above-captioned application, or date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits whichever occurs last. 37 CFR 1.97(b).

The Commissioner is hereby authorized to charge payment of any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 14-1519. A duplicate of this request is enclosed.

Respectfully submitted this 23<sup>rd</sup> day of March, 2004.

NEIL K. NYDEGGER  
Attorney for Applicant  
Registration No. 30,202

Transmitted: Information Disclosure Statement; PTO Form 1449;  
and 13 references.



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Examiner: Unknown )  
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Attorney Docket: 11350.5 )

INFORMATION DISCLOSURE STATEMENT

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The following sections are being submitted for this Information Disclosure Statement:

1.   X   Preliminary Statements
2.   X   FORM PTO - 1449 (Modified)
3.        Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies are Submitted Or Need be Submitted.
4.   X   Copies of Listed Information Items Accompanying This Statement.
5.        Concise Explanation of Non-English Language Listed Information Items.
6.        Translation(s) of Non-English Language Documents.
7.        Certification Under 37 C.F.R. §1.97.(e).
8.        Fee as required under 37 C.F.R §1.17(p).
9.        Petition requesting consideration of Statement and Petition Fee as set forth in 37 C.F.R. §1.17(i)(1).
10.   X   Identification of Person(s) Making This Information Disclosure Statement.

## **Section 1. Preliminary Statements**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.



FORM PTO-1449 (Modified)  
U.S. Dept of Commerce  
Patent and Trademark Office

List Submitted in Accordance  
with 37 CFR § 1.98 (a)(1)

Attorney Docket: 11350.5  
Serial No.: 10/626,887  
Applicant: Lentz et al.  
Filing Date: July 24, 2003  
Group No.: 3744

U.S. Patent Documents

| <u>Examiner<br/>Initial*</u> |    | <u>Patent<br/>Number</u> | <u>Issue<br/>Date</u> | <u>Patentee</u>     |
|------------------------------|----|--------------------------|-----------------------|---------------------|
| ___                          | AA | 5,147,355                | 09/15/92              | Friedman et al.     |
| ___                          | AB | 6,237,355B1              | 05/29/01              | Li                  |
| ___                          | AC | 6,245,064                | 06/12/01              | Lesh et al.         |
| ___                          | AD | 6,562,030B1              | 05/13/03              | Abboud et al.       |
| ___                          | AE | 6,569,158B1              | 05/27/03              | Abboud et al.       |
| ___                          | AF | 6,575,966B2              | 06/10/03              | Lane et al.         |
| ___                          | AG | 6,579,287B2              | 06/17/03              | Wittenberger et al. |
| ___                          | AH | 6,589,234B2              | 07/08/03              | Lalonde et al.      |
| ___                          | AI | 6,592,577B2              | 07/15/03              | Abboud et al.       |
| ___                          | AJ | 6,595,988B2              | 07/22/03              | Wittenberger et al. |
| ___                          | AK | 6,602,247B2              | 08/05/03              | Lalonde             |
| ___                          | AL | 6,629,972B2              | 10/07/03              | Lehmann et al.      |
| ___                          | AM | 6,635,053B1              | 10/21/03              | Lalonde et al.      |

Examiner:

Date Considered:

\* EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Foreign Patent Documents

| <u>Document<br/>Number</u> | <u>Pub.<br/>Date</u> | <u>Country</u> | <u>Translation</u> |
|----------------------------|----------------------|----------------|--------------------|
|----------------------------|----------------------|----------------|--------------------|

\_\_\_\_\_ A

Other Documents

(including Author, Title, Pertinent Pages, date and place of publication)

\_\_\_\_\_ A

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Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_

\* EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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**Section 4. Copies of Listed Information Items Accompanying This Information Disclosure Statement.**

Legible copies of **all** items listed below accompany this Information Disclosure Statement.

| <u>Patent No.</u> | <u>Date</u> | <u>Name</u>         | <u>Country</u> |
|-------------------|-------------|---------------------|----------------|
| 5,147,355         | 09/15/92    | Friedman et al.     | USA            |
| 6,237,355B1       | 05/29/01    | Li                  | USA            |
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| 6,629,972B2       | 10/07/03    | Lehmann et al.      | USA            |
| 6,635,053B1       | 10/21/03    | Lalonde et al.      | USA            |

**Section 10. Identification of Person(s) Making this Information Disclosure Statement.**

The person making this statement is

(a) \_\_\_\_\_ the inventor(s) who signs below

\_\_\_\_\_  
SIGNATURE OF INVENTOR

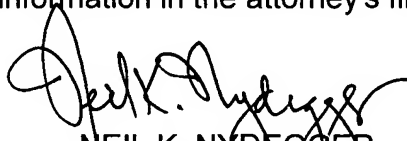
\_\_\_\_\_  
Name of Inventor

(b)   X   the attorney who signs below on the basis of:

  X   the information supplied by the inventor(s)

\_\_\_\_\_ an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))

  X   the information in the attorney's file

  
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|                       |  | )          |
| Attorney Docket:      | 11350.5                                  | )          |

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that this Information Disclosure Statement (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450, with sufficient postage as first class mail pursuant to 37 CFR 1.8(a) on this 23<sup>rd</sup> day of March, 2004.

  
MEGAN N. KELLY  
Legal Document Assistant